



**B A B B A G E**  
Financial Services Pty Ltd

# Financial Adviser Profile

## Overview

Jim has more than 17 years experience in the financial services industry providing advice based solutions to clients. In 1982, Jim founded Babbage & Co. From business management to financial services, Babbage & Co. provides clients with the expertise to increase their financial control, enhance productivity, and maximise the value of their business.

Jim specialises in working with businesses and individuals on a path of growth. In doing this, he will work with you and your business to tailor solutions to ensure your business grows and succeeds in the challenging business environment of today.

Jim is a Sub-Authorised Representative of Babbage Financial Services Pty Ltd, Corporate Authorised Representative No. 338175. Authorised Representative No. 230590.

## Qualifications

Jim has a Diploma of Financial Planning, is a Certified Financial Planner professional, holds a Public Practice Licence from CPA Australia and meets the competency requirements under ASIC's Regulatory Guide RG 146.

## Professional Memberships

Jim is a CPA Australia Public Practitioner and a FPA Certified Financial Planner and abides by their code of professional conduct and ethics.

## Authorisations

Jim is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation; and
- Standard Margin Lending Facility.

## Jim Babbage

Babbage Financial Services

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## Babbage Financial Services Advice Fees and Charges

Jim may be paid by either Financial Planning Advice Fees and/or Commissions as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

You will be notified of the cost for the preparation of a Statement of Advice prior to the commencement of any work if applicable.

Jim provides the option of ongoing reporting and advisory services. You will be notified of the cost involved prior to the commencement of any ongoing services.

Babbage Financial Services Pty Ltd pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Jim is a Director of Babbage Financial Services Pty Ltd and will receive a salary/benefit from this company.

## Other Benefits Jim May Receive

From time to time Jim may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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This Adviser Profile has been authorised for distribution by Capstone Financial Planning Pty Ltd. AFSL No. 223135. This Adviser Profile forms part of the Financial Services Guide (FSG) and is to be read in conjunction with the FSG.